

Verint Financial Compliance Capture



In today's financial landscape, the number of communications channels involved in a trade has increased dramatically, expanding from just voice to include text, video, screen activities, and other forms of collaboration. In response, regulators are passing new legislation to address record keeping and trade surveillance across them. Financial firms must capture all interactions related to transactions to achieve compliance, including those via trading turrets, mobile phones, and unified communications (UC) tools.

To help businesses tackle this challenge, Verint® Financial Compliance Capture™ offers an integrated, secure compliance recording solution to capture voice, SMS, instant messaging, screen and application sharing, video conferencing, file transfer, and other means of collaboration in financial trading and back-office environments. The solution supports IPC®, BT® IP Trade™, Cloud9®, Speakerbus®, and other dealerboard and trading communications systems. Furthermore, it can reliably capture conversations from Cisco® Collaboration, Microsoft® Skype for Business, Avaya®, and more, from PBX telephony to UC and public mobile networks.

Verint Financial Compliance Capture provides a single recording solution that can bring regulatory compliance, liability protection, and collaboration capabilities together across your enterprise. Banks, trading rooms, and investment firms rely on it to record interactions automatically across all regulated users and capture what was said related to a transaction, at any stage of the trade lifecycle. This practical solution can enable you to comply with stringent record keeping and trade monitoring policies, such as MiFID II, MAR/MAD II, Dodd-Frank, and more.

Augmented by the advanced data retention, retrieval, and governance capabilities of Verint Financial Compliance Archive™, Verint Financial Compliance Capture can make compliance easier and help you fulfill audit and compliance requests more efficiently.

Now you can:

- Capture voice, chat, video, screen activity, and content sharing across multiple channels, including leading unified communications and collaboration, trading turret, PBX, and mobile platforms — with an integrated recording solution.
- Facilitate compliance with financial record keeping and communications monitoring policies under MiFID II, Dodd-Frank, MAR / MAD II, and more to avoid hefty fines and penalties.
- Record the entire chain of trade-related interactions on the trading floor and in the back office for trade reconstruction and investigations.
- Reduce your hardware footprint, technical infrastructure, IT workload, and operating costs associated with financial compliance recording.

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Meet Your Omnichannel Recording Needs

Verint Financial Compliance Capture provides trusted compliance recording technology based on open APIs. It can seamlessly integrate with your existing infrastructure while helping you adhere to regulations and standards, either as a single, multisite, or global deployment. It offers advanced recording capabilities to help your organization fulfill complex regulatory obligations:

- **Versatile Compliance Recording** – Supports various recording modes (always-on and on-demand) and multiple methods, such as passive, proxy-based, and network-based capture.
- **Multiple Conversation Scenarios** – Continuously captures internal, external, public switched telephone network (PSTN), conference, federated, and mobile interactions.
- **Call Blocking on Recording Failure** – Blocks call establishment when the recording service is unavailable for any reason.
- **Recording Announcement** – Allows users to easily set up voice announcements for conference, PSTN and federated calls.
- **Recording Assurance** – Allows augmentation with conversation records and voice quality checks to help ensure calls are readily available at the required quality.
- **Compliance Storage Integrations** – Allows conversations to be stored in multiple third-party cloud storage and Write Once Read Many (WORM) archive solutions.
- **Flexible Deployment Options** – Can be deployed in the cloud or on-premises.

Reduce the Total Cost of Compliance

With Verint Financial Compliance Capture, your organization can provide complete records of trade-related communications from thousands of channels at multiple sites. It offers a single point of administration and a centralized view across your deployment, helping you tackle the complexity posed by multiple proprietary systems while reducing the effort and cost associated with ongoing maintenance. It can help your business significantly reduce the hardware footprint, technical infrastructure, IT workload, and operating costs associated with financial compliance recording.

The solution can be deployed on industry-standard servers and implemented as a fully virtualized solution to gain cost savings for provisioning, maintenance, and disaster recovery. Recording redundancy and high availability options enable recording to continue in the event of a server failure, and the solution can be deployed to be highly resilient and fault-tolerant.

Benefit from World-Class Consultants

Verint offers a range of Professional Services to help you get the most from your investment, including Business Advisory Services, Implementation and Enablement Services, and Managed Services. Regardless of the services you select, you can be confident that our experienced teams offer practical knowledge and are committed to your success.

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